

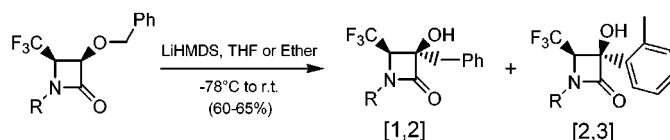
# Novel [1,2]- and [2,3]-Wittig Rearrangements of $\alpha$ -Benzyloxy $\beta$ -CF<sub>3</sub>- $\beta$ -lactam Enolates

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## ABSTRACT



$\alpha$ -Benzyloxy  $\alpha$ -CF<sub>3</sub>- $\beta$ -lactams are shown to offer the first examples of the enolate [1,2]- and enolate *ortho*-[2,3]-Wittig rearrangements which provide a unique entry to the  $\alpha$ -benzyl- $\alpha$ -hydroxy lactams and the  $\alpha$ -aryl- $\alpha$ -hydroxy lactams, respectively. Both products are potential precursors of new trifluoromethyl isoserines, and the latter is not accessible via the usual alkylation methodology.

Alkylation of  $\beta$ -lactams is a powerful and efficient method for the diastereoselective preparations of  $\alpha$ -branched  $\beta$ -lactams and nonproteogenic  $\alpha$ -alkyl amino acids.<sup>1,2</sup> However, this alkylation reaction is mostly described for the cases of  $\alpha$ -amino- and  $\alpha$ -hydroxy-substituted  $\beta$ -lactams.<sup>3</sup> The  $\alpha$ -branched substitution could serve as conformational modifier, bringing about structural constraints<sup>4</sup> and/or more resistance to both chemical and enzymatic hydrolysis than the parent  $\beta$ -lactams.<sup>5</sup> In this connection,  $\alpha$ -branched isoserines such as norstatine analogues could be interesting

amino acid residues for protease inhibitors<sup>6</sup> and taxol derivatives.<sup>2,7</sup>

We have recently reported the preparation of both racemic and nonracemic  $\beta$ -fluoroalkyl  $\alpha$ -benzyloxy  $\beta$ -lactams through the ketene–imine cycloaddition of fluoroalkyl imines.<sup>8,9</sup> These  $\beta$ -lactams have been used for the preparation of new active docetaxel derivatives<sup>10</sup> and of HIV-1 protease inhibitors,<sup>11</sup> and hence we became interested in the substituent effect of these compounds on biological activities.

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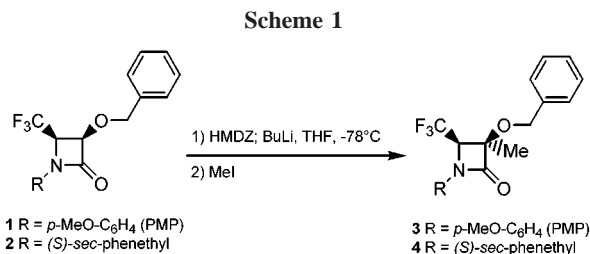
(3) With no heteroatom at C-3, alkylation was successful only with MeI: Browne, M.; Burnett, D. A.; Caplen, M. A.; Chen, L.-Y.; Clader, J. W.; Domalski, M.; Dugar, S.; Pushpavanam, P.; Sher, R.; Vaccaro, W.; Viziano, M.; Zhao, H. *Tetrahedron Lett.* **1995**, *36*, 2555–2558.

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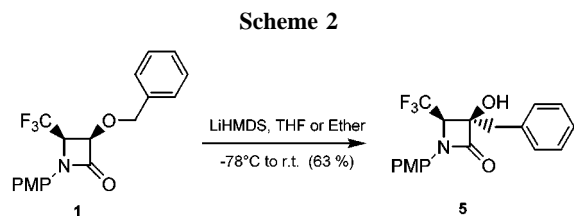
We communicate here the results observed during investigations on the alkylation of racemic and nonracemic  $\beta$ -trifluoromethyl  $\beta$ -lactams **1** and **2**, namely the unprecedented [1,2]- and [2,3]-Wittig rearrangements of their enolates.

Enolates of  $\beta$ -lactams **1** and **2** were generated with LiHMDS (2.5 equiv) in THF at  $-78^\circ\text{C}$  (Scheme 1), and



their quenching with methyl iodide provided the  $\alpha$ -methyl- $\beta$ -lactams **3** and **4** in excellent yields (93% and 90%, respectively). The reaction is stereoselective, and alkylation occurs exclusively from the side opposite the bulky trifluoromethyl substituent.<sup>12</sup> Only traces of the other stereoisomer were detected.

However, when less reactive electrophiles such as propyl halides (bromide and iodide) were used, the alkylation failed at temperatures ranging from  $-78$  to  $20^\circ\text{C}$ , and the starting materials were recovered together with significant amounts of new products resulting from the enolate rearrangements (vide infra). Since the Wittig rearrangements of enolates of  $\alpha$ -benzyloxy carbonyl systems had never been observed,<sup>13,14</sup> we explored the rearrangement behavior of the enolates of **1** and **2**. The enolate of **1** was generated with LiHMDS (2.5 equiv) in THF at  $-78^\circ\text{C}$ . At that temperature, no reaction occurred, even after 2 h. When the mixture was gradually warmed, the disappearance of the enolate started at  $-30^\circ\text{C}$  (checked after hydrolysis). After 1 h at room temperature, the reaction was complete, yielding the rearrangement product **5** as a single diastereoisomer with no trace of any other product. **5** was isolated in 63% yield (Scheme 2). The



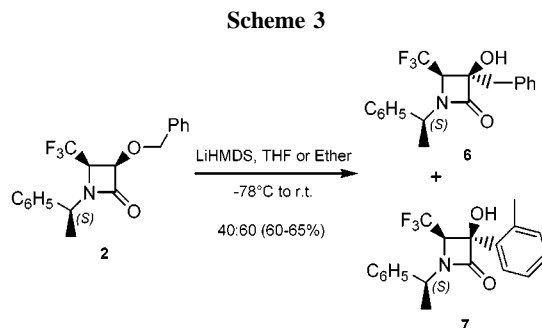
configuration was deduced from NOESY experiments by the presence of a correlation between  $\beta$ -H and the benzylic protons, indicating their proximity.

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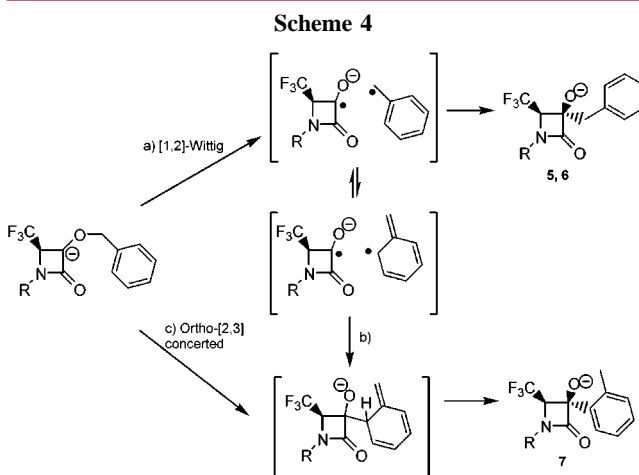
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Interestingly enough, the enolate of the *N*-[*(S)*-*sec*-phenethyl]-(*R,R*)- $\beta$ -lactam **2** was found to rearrange into two compounds, **6** and **7**, in a 40:60 ratio, both as a single diastereoisomer (Scheme 3). Isomers **6** and **7** were isolated



as a mixture (65%). The stereochemistry of **7** was determined from NOESY experiments:  $\beta$ -H correlates with the *ortho*-proton and the tolyl-methyl protons.

Products **5** and **6** obviously arise from the classic [1,2]-Wittig rearrangement which is known to proceed via a radical cleavage–recombination pathway (Scheme 4).<sup>13,14</sup> To the



best of our knowledge, it is the first example of the [1,2]-Wittig rearrangement involving an enolate as the migrating terminus, while the [2,3]-Wittig sigmatropic versions involving enolate termini have ample precedents.<sup>13,15</sup> Significantly, the rearrangement occurs with complete retention of configuration at the migrating terminus (pre-enolate carbon), while partial inversion of configuration has generally been observed in the [1,2]-Wittig rearrangements on  $\text{sp}^3$ -carbanion termini, despite the radical process.<sup>16</sup> It thus appears likely

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that the steric course of the present [1,2]-Wittig process is governed by steric hindrance offered by the  $\beta$ -CF<sub>3</sub>-substituent on the  $\beta$ -lactam ring as observed for the aforementioned alkylation reactions.

Also interesting and rather surprising is the formation of product **7** which is best explained as a result of the “*ortho*-[2,3]-Wittig” sigmatropy involving the double bond of the phenyl group as part of the migrating group, although the radical dissociation–recombination pathway cannot completely be excluded (Scheme 4). Various types of  $\alpha$ -allyloxy carbanions, including enolate ones, are well-known to readily undergo the [2,3]-Wittig sigmatropic rearrangement which currently enjoys wide application in organic synthesis.<sup>13,15</sup> However, the “*ortho*-[2,3]-Wittig” rearrangement of  $\alpha$ -benzyloxy carbanions should be kinetically less favorable due to the involvement of the dearomatization step and also due to the great migratory aptitude of the benzyl group in the [1,2]-Wittig shifts.<sup>16d</sup> Indeed, only a few examples of such *ortho*-[2,3]-Wittig rearrangements have been reported thus far.<sup>17,18</sup> In contrast, *ortho*-[2,3]-shifts have ample precedent such as the thia-[2,3]-Wittig rearrangement of  $\alpha$ -benzylthioalkyllithiums<sup>19</sup> and the Sommelet–Hauser rearrangement of *N*- and *S*-benzyl ylides.<sup>20</sup>

In this regard, the present observation of the enolate *ortho*-[2,3]-Wittig rearrangement is remarkable and it proceeds with complete stereocontrol to afford **7** as a single stereoisomer

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via exclusive C–C bond formation from the side opposite the sterically demanding CF<sub>3</sub>.

In view of the recent observations on the influence of reaction conditions on competing processes,<sup>17c,18b</sup> we attempted to enhance the *ortho*-[2,3]-shift preference. For **1** and **2**, use of Et<sub>2</sub>O instead of THF resulted in no effect at all on the course of the enolate rearrangements. More strikingly, no effect of reaction temperature was observed: the **6**:**7** ratios were essentially the same at –30 °C and higher temperature, although it is well established that a lower temperature favors the concerted processes. Thus, these observations led us to suggest that the present *ortho*-[2,3]-Wittig shift is likely to proceed via the radical pathway (cf. Scheme 4). If so, nature of the *N*-substituent may act upon stability and reactivity of the  $\beta$ -lactam radical which can be considered as a captodative species. This could explain why the *ortho*-[2,3]-shift prevails over the [1,2]-shift in the case of  $\beta$ -lactam **2** (*N*-phenethyl), while  $\beta$ -lactam **1** gave only the [1,2]-Wittig product.

In summary, we have presented the first examples of the enolate *ortho*-[2,3]-Wittig and/or enolate [1,2]-Wittig rearrangement by using  $\beta$ -lactams as substrates. From a synthetic point of view, the present Wittig rearrangements provide a unique entry to  $\alpha$ -benzyl- $\alpha$ -hydroxy- $\beta$ -CF<sub>3</sub>- $\beta$ -lactams and the  $\alpha$ -aryl- $\alpha$ -hydroxy- $\beta$ -CF<sub>3</sub>- $\beta$ -lactams, respectively, which are potential precursors of new trifluoromethyl isoserines, and the latter product is not readily accessible via the usual alkylation methodology.

**Supporting Information Available:** Experimental procedures and full characterization (IR, <sup>1</sup>H, <sup>13</sup>C, <sup>19</sup>F NMR spectra and analysis) for compounds **3**–**7**. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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